

Instability of a trapped ultracold Fermi gas with attractive interactions: quantum effects

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Abstract. We consider the possible mechanical instability of an ultracold Fermi gas due to the attractive interactions between fermions of different species. We investigate how the instability, predicted by a mean field calculation, is modified when the gas is trapped in a harmonic potential and quantum effects are included.

PACS. 03.75.Ss Degenerate Fermi gases – 03.75.Kk Dynamic properties of condensates; collective and hydrodynamic excitations, superfluid flow

1 Introduction

The field of ultracold Fermi gases has recently seen a very strong development. After reaching the degenerate regime, experiments have dealt with mixtures of two hyperfine levels [1–3]. This has been done mostly in the vicinity of a Feshbach resonance, which allows quite conveniently to adjust the effective interaction. Indeed by changing the magnetic field, one can start with a small negative scattering length, make it more negative, let it have a jump from $-\infty$ to $+\infty$ at the resonance, and then have it decrease to small positive values. A major purpose of these experiments is to look for the BCS transition, which should occur in particular for negative scattering length a . Naturally one expects the critical temperature to be higher for larger $|a|$, since this corresponds to a stronger attractive interaction.

On the other hand one may expect that this overall attractive interaction may give rise also to a collapse instability. This would be similar to the one very much studied in Bose-Einstein condensates [4], where this instability prohibits the formation of condensates with a large number of atoms. It is reasonable to think that similarly the BCS instability would be in competition with a collapse. The first work where the BCS transition for ultracold atoms was explicitly considered [5] studied also this collapse within the mean field approximation, considering in particular the dependence on the ratio between the number of atoms in the two atomic population expected to form Cooper pairs. The vicinity of this collapse would also be particularly favorable [6] to the BCS transition.

More specifically let us consider only the case of two equal populations of atoms corresponding to two different hyperfine levels, and restrict ourselves to the $T = 0$ case. A scattering length a corresponds to an effective interaction constant $g = 4\pi\hbar^2 a/m$ between unlike atoms, giving rise to a mean field contribution $gn/2$ to the chemical potential $\mu(n)$ where n is the total atomic density. Including kinetic energy the total chemical potential is given in this approximation by $\mu(n) = \hbar^2 k_F^2/2m - |g|n/2$ with $3\pi^2 n = k_F^3$. The instability is obtained from $\partial\mu/\partial n = 0$ which gives for the critical density $\lambda \equiv 2k_F|a|/\pi = 1$.

Quite remarkably recent experiments [1–3,7] on mixtures of fermionic atoms with two different hyperfine states have not observed this instability when they have been through the Feshbach resonance, where the scattering length becomes infinitely large. Since these experiments have reached quite low temperatures it is very unlikely that they missed this transition because they did not go at low enough T . On one hand the absence of this instability is quite fortunate since it allows experiments to reach all the possible range of scattering lengths, without any limitation. In particular this gives the possibility to produce molecules, which has been extremely fruitful very recently. Nevertheless the failure of the mean field calculation [5] is somewhat striking since, although there is no reason to believe mean field to be quantitatively correct, it gives quite often reasonable qualitative estimates. Note however that there is nothing basically wrong in the idea that a collapse instability should exist since it has been indeed observed experimentally [8] in a fermion-boson mixture with an effective attraction between fermions and bosons.

In this paper we investigate a possible source for this disagreement between this simple mean field estimate [9] and experiments. An indication in this direction can be

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found in the results of an investigation of the hydrodynamic modes in harmonic trap [10]. The hydrodynamic framework implies that the quantum length scale does not appear in the study, except indirectly in the equation of state of the dense gas. The surprising result is that no mode with zero frequency is actually found, even when the system reaches the density for collapse at the center of the trap. All the modes are found to have non zero frequencies, whereas one would expect the collapse instability to manifest itself by the appearance of a mode with zero frequency, as it is found for a Bose condensate. Indeed one can show, from the starting equations, that it is a general feature of these hydrodynamic modes to have non-zero frequencies [11]. This result may suggest that, in a trap, the collapse may be missed in some way.

In order to explore this problem and go beyond the macroscopic scale by including quantum effects, we perform in this paper a semiclassical microscopic calculation to find if there is a zero frequency mode. Since experiments have already reached very low temperatures, and that this is the most favorable situation for appearance of the instability, we restrict our exploration to the $T = 0$ case. We find indeed that there is one. The lack of zero frequency mode in the hydrodynamic framework has actually a simple physical explanation. The gas becomes unstable when the density at the center of the trap reaches the critical density. However the gas in all the other parts of the trap has a density lower than this critical density. So the overall system is, so to speak, not soft enough to have a zero frequency mode. When one goes to a microscopic calculation, the unstable region, which had at the macroscopic scale a zero extension, gets a finite extension of order of the microscopic scale. In this way this region can produce a strong enough softening and give rise to a zero frequency mode, which we obtain below explicitly.

In the next section we begin our investigation by making a simple RPA approximation to find the microscopic effect of interactions. Our treatment takes into account the modification of the density distribution due to the interactions. This allows us to find out explicitly the important features in this problem, and in particular to point out the relevant length scales. We can then generalize our approach by getting rid of the RPA approximation, and show how to deal with the problem on the quite general grounds of Fermi liquid theory. We find indeed that the threshold for instability is modified by the trap and obtain explicitly this modification, as well as the shape of the mode responsible for the instability. However, although this modification could be sizeable for the very anisotropic traps used in some experiments, it appears unlikely that it is responsible for the overall disappearance of the instability, as it is observed experimentally.

2 Theoretical treatment of the instability in a trap

We consider now the above atomic gas of fermions with mass m , in an isotropic harmonic trap of frequency Ω , giving rise to the harmonic potential $V(\mathbf{x}) = \frac{1}{2}m\Omega^2 r^2$ with

$r^2 = \mathbf{x}^2$. An (undamped) eigenmode corresponds to an infinite response of the system excited at the frequency of the mode. Since we are interested in a zero frequency mode [12], we have to consider in addition a static perturbation $\delta V(\mathbf{x})$, which will induce a static density fluctuation $\delta\rho(\mathbf{x})$. The collapse instability will correspond to a divergent density fluctuation. The linear response theory gives [13]:

$$\delta\rho(\mathbf{x}) = \int d\mathbf{x}' \Pi(\mathbf{x}, \mathbf{x}') \delta V(\mathbf{x}') \quad (1)$$

where $\Pi(\mathbf{x}, \mathbf{x}') = -\frac{i}{\hbar} \int dt \theta(t) \langle [\hat{\rho}(\mathbf{x}, t), \hat{\rho}(\mathbf{x}', 0)] \rangle$ is the zero frequency density-density (retarded) response function. Therefore an instability corresponds to a divergent eigenvalue of the kernel operator $\Pi(\mathbf{x}, \mathbf{x}')$, which is real symmetric. For interacting particles, one has to make use of some kind of approximation to calculate Π . The simplest one, which will reduce as we will see to the mean field result for an infinitely wide trap (in which case the system would be homogeneous) is the Random Phase Approximation (RPA) and this is the one we will use here. As it is well known, it is equivalent to sum up an infinite series of bubble diagrams. Since the fermions we deal with interact with a very short range atomic size potential, we can use a contact potential $U(\mathbf{x}) = g\delta(\mathbf{x})$, with $g = 4\pi\hbar^2 a/m$, for the interaction potential, where $g < 0$ since we consider an attractive interaction. In this case the RPA for our trapped fermions reads:

$$\Pi(\mathbf{x}, \mathbf{x}') = \Pi^0(\mathbf{x}, \mathbf{x}') + g \int d\mathbf{x}_1 \Pi^0(\mathbf{x}, \mathbf{x}_1) \Pi(\mathbf{x}_1, \mathbf{x}'). \quad (2)$$

This equation (2) reads formally $\Pi = \Pi^0 + g\Pi^0\Pi$, and its formal solution is $\Pi = (1 - g\Pi^0)^{-1}\Pi^0$. This shows that the eigenvectors of Π and Π^0 are the same, and that the instability we look for appears when the smallest (negative) eigenvalue of Π^0 equals $1/g$. The general eigenvalue equation for Π^0 (eigenvalue α , eigenvector φ) reads:

$$\int d\mathbf{x}' \Pi^0(\mathbf{x}, \mathbf{x}') \varphi(\mathbf{x}') = \alpha \varphi(\mathbf{x}). \quad (3)$$

It is then convenient to introduce the Wigner transform $\Pi_W^0(\mathbf{q}, \mathbf{R}) = \int d\mathbf{r} e^{-i\mathbf{q}\cdot\mathbf{r}} \Pi^0(\mathbf{R} + \mathbf{r}/2, \mathbf{R} - \mathbf{r}/2)$. Equation (3) then becomes:

$$\int \frac{d\mathbf{r}d\mathbf{q}}{(2\pi)^3} e^{i\mathbf{q}\cdot\mathbf{r}} \Pi_W^0(\mathbf{q}, \mathbf{x} - \mathbf{r}/2) \varphi(\mathbf{x} - \mathbf{r}) = \alpha \varphi(\mathbf{x}). \quad (4)$$

Then we use the fact that there is a large number of trapped particles or equivalently that the chemical potential is much larger than the level spacing $\hbar\Omega$. This allows to make use of a semiclassical treatment by considering that the trapping potential is slowly varying. In this case we can use for $\Pi_W^0(\mathbf{q}, \mathbf{R})$ its homogeneous value, evaluated with the local value of the particle density. We therefore make the approximation $\Pi_W^0(\mathbf{q}, \mathbf{R}) \approx \Pi^0(\mathbf{q})$, where $\Pi^0(\mathbf{q})$ is the response function of the homogeneous system with a Fermi wave vector $k_F(R)$. The local Fermi

wave vector is related to the equilibrium density of the cloud $n(R) = k_F^3/3\pi^2$, determined by the equation:

$$\mu(n) + 1/2 m \Omega^2 r^2 = \tilde{\mu} \quad (5)$$

where $\mu(n)$ is the chemical potential and $\tilde{\mu}$ is the overall chemical potential.

Our next step is to take advantage of the length scale d we expect physically for the instability mode we are interested in. Clearly the instability will occur at the center of the trap since this is where the local particle density is highest. On the other hand this mode is a collective phenomenon involving a large number of particles, so it must occur over a typical scale large compared to the interparticle distance, which is itself of order k_F^{-1} . This leads us to look for a mode which satisfies $k_F^{-1} \ll d \ll R_0$. This relation implies that the typical wavevectors entering the Fourier expansion of $\varphi(\mathbf{x})$ are small compared to k_F . From equation (4) it is then seen that the wavevector \mathbf{q} in $\Pi_W^0(\mathbf{q}, \mathbf{R})$ must also be small compared to k_F . This allows us to expand Π^0 in powers of \mathbf{q} . Since $\Pi^0(\mathbf{q})$ is just the free particle response function, we have [13] explicitly $\Pi^0(\mathbf{q}) \approx -\frac{1}{2\pi^2} m k_F (1 - \frac{1}{12} q^2/k_F^2)$. When we insert this expression in equation (4) and perform the integrals, we find the following second order partial differential equation for the density fluctuation φ corresponding to the instability mode:

$$\Delta\varphi + k_F \nabla \left(\frac{1}{k_F} \right) \cdot \nabla \varphi + \frac{1}{4} k_F \varphi \Delta \left(\frac{1}{k_F} \right) + 12 \left(k_F^2 + 2\pi^2 \frac{k_F}{m} \alpha \right) \varphi = 0. \quad (6)$$

If we consider now the order of magnitude of the three first terms of equation (6), we notice that the second and the third term contain derivatives of k_F , while the first one contains only derivatives of φ . Since the length scale R_0 for the variations of k_F is much larger than the length scale d for the variations of φ , the second and third terms are negligible compared to the first one.

In order to solve explicitly equation (6) we consider as a first step, in the following subsection, the simple case where the modification of the density distribution due to the interactions is not taken into account. This will allow us to see clearly the relevant length scales. This simple case is equivalent to assume the free particle relation $\mu(n) = \hbar^2 k_F^2/2m$ for the equation of state. We will then take consistently into account interactions in $k_F(R)$ in the next subsection.

2.1 Simple case

In this simple case we have merely [14]:

$$k_F(R) = k_F^0 (1 - R^2/R_0^2)^{1/2} \quad (7)$$

where the Thomas-Fermi cloud radius R_0 and the maximum Fermi wave vector k_F^0 are related by [14]

$\hbar^2 (k_F^0)^2/2m = (1/2) m \Omega^2 R_0^2$. Both are directly related to the particle number N in the trap. Coming back to equation (6), since again $d \ll R_0$, $k_F(x)$ is a slowly varying function in the considered domain of $x \equiv \|\mathbf{x}\| \sim d$. We can therefore expand $k_F(x)$ up to second order around $x = 0$, using equation (7). Setting the eigenvalue $\alpha = 1/g$ at the instability, we can introduce the coupling constant $\lambda = -\frac{1}{2\pi^2} m k_F^0 g = -\frac{2}{\pi} k_F^0 a$, and we find:

$$\Delta\varphi + 12 \left[(k_F^0)^2 (1 - 1/\lambda) - (k_F^0/R_0)^2 (1 - 1/2\lambda) x^2 \right] \varphi = 0. \quad (8)$$

Going up to fourth order in the expansion of $k_F(x)$ would give a term of order $(k_F^0)^2/(R_0)^4 x^4$, which is smaller than the x^2 term in equation (8) by a factor $(x/R_0)^2 \ll 1$. It is therefore justified to stop the small x expansion to second order to get equation (8) from equation (6).

Now equation (8) is the Schrödinger equation for the 3D harmonic oscillator of frequency ω for a state of energy E , provided we set $\hbar = m = 1$, together with:

$$E = 6 (k_F^0)^2 \left(1 - \frac{1}{\lambda} \right) \quad (9)$$

and

$$\omega^2 = 12 (k_F^0/R_0)^2 \left(1 - \frac{1}{2\lambda} \right). \quad (10)$$

Since the instability mode we are looking for is naturally localized in the center of the trap, we are looking for the bound states of this harmonic oscillator. The critical value of the coupling constant λ is directly obtained from the energy E of the oscillator by equation (9). Since the first instability will occur for the smallest value of the coupling constant, we are looking from this equation for the smallest possible value of the energy. We check that we recover properly the homogeneous case by taking the limit $R_0 \rightarrow \infty$. Indeed in this case the harmonic oscillator frequency ω goes to zero, which implies that all the bound states energies go to zero. From equation (9) this gives $\lambda = 1$ as expected. Coming back to the trapped case we will find the lower energy among the isotropic s -wave states. We have for these states the quantization relation $E = \omega(2n + 3/2)$, with $n = 0, 1, \dots$. For a given n , this determines λ at the instability. The smallest value of λ is obtained for $n = 0$, corresponding to the Gaussian mode $\varphi(\mathbf{x}) = e^{-\frac{1}{2}\omega x^2}$. This yields $1 - 1/\lambda = \sqrt{3/4(1 - 1/2\lambda)} (R_0 k_F^0)^{-1} \ll 1$. This result shows that we have $\lambda \simeq 1$. Therefore to first order in $1/R_0 k_F^0$ we have at the instability:

$$\lambda - 1 \approx \sqrt{\frac{3}{8}} \frac{1}{R_0 k_F^0}. \quad (11)$$

Naturally we have to check the consistency of our calculation by looking at the size d of the instability mode. Since we have $\lambda \simeq 1$, we can just set $\lambda = 1$ in the

equation (10) for ω^2 . We get $\omega = \sqrt{6k_F^0/R_0}$ leading to a Gaussian mode $\varphi(\mathbf{x}) = \exp(-\frac{1}{2}x^2/d^2)$ of width $d \equiv \omega^{-1/2} = 6^{-1/4}(R_0/k_F^0)^{1/2} = 6^{-1/4}\sqrt{\hbar/m\Omega}$. Except for the numerical coefficient this is the size of the single particle ground state in the harmonic trapping potential. This result for the width of the mode is completely consistent with our starting hypotheses $k_F^{-1} \ll d \ll R_0$.

2.2 Self-consistent calculation

Now, since we have the quantitative situation under control, we come back to a consistent description of the density distribution in the atomic cloud, taking interactions into account in the calculation of the Fermi wave vector. Taking for the chemical potential the Hartree approximation, we have $\mu(n) = \hbar^2 k_F^2/2m - |g|n/2$ with $n = k_F^3/3\pi^2$. When $\lambda = 1$, the static compressibility $(n\partial\mu/\partial n)^{-1}$ diverges at the center of the trap. As a result when we take the derivative of the chemical potential with respect to the Fermi wavevector, we find that it is zero. This implies that $k_F(R)$ is a linear function of R close to the trap center, instead of being quadratic as in equation (7). As we have seen in the preceding subsection, we need to know $k_F(R)$ only close to the center. This is easily done and one finds:

$$\frac{k_F(R)}{k_F^0} \approx 1 - \frac{1}{\sqrt{3}} \frac{R}{R_0}, \quad (12)$$

where R_0 is again the Thomas-Fermi radius of the atomic cloud. But it is now related to the Fermi wavevector at the center k_F^0 by $\hbar k_F^0 = \sqrt{3}m\Omega R_0$, instead of $\hbar k_F^0 = m\Omega R_0$ as above. Following the same procedure as before, we insert this expression for the density into equation (6). Keeping only the dominant terms, we get:

$$\Delta\varphi + 12(k_F^0)^2 \left(1 - \frac{1}{\lambda} - \frac{1}{\sqrt{3}} \frac{x}{R_0}\right) \varphi = 0. \quad (13)$$

For s -wave solutions this equation can be reduced to Airy function differential equation:

$$\psi''(y) = (y - y_0) \psi(y) \quad (14)$$

provided that we rescale the position x according to $x = Dy$, with the new length scale D given by $D/R_0 = (4\sqrt{3}(k_F^0 R_0)^2)^{-1/3}$, and we introduce the new function $\psi = x\varphi$. We find $y_0 = 12(k_F^0 D)^2(1 - 1/\lambda)$. We note that the power law dependence of D/R_0 on $k_F^0 R_0$ is slightly different from the one we have found for d/R_0 . Nevertheless our starting hypotheses $k_F^{-1} \ll d \ll R_0$ are still satisfied. The boundary conditions $\psi(0) = 0$ and $\psi(+\infty) = 0$ impose that $\psi(y) = Ai(y - y_0)$ where $y_0 \approx 2.3$ is the first zero of the Airy function. We finally get for the coupling constant at which the instability arises:

$$\lambda - 1 \approx \frac{y_0}{(6k_F^0 R_0)^{2/3}}. \quad (15)$$

This result is similar to the one we have found above equation (11) for our simple case. However the dependence on

$k_F^0 R_0$ is somewhat weaker since the exponent is $2/3$ instead of 1 .

Our result equation (15) is coherent with what might be expected physically. Indeed this can not be the density right at the center of the trap which is relevant for the instability. One has rather to consider the average density over a region of typical size a few k_F^{-1} . This average density is lower than the nominal density right at the center. We expect therefore that the threshold for the instability is raised, compared to what one could obtain by considering only the density at the center. This is just what we obtain. Nevertheless the shift of the instability is rather small since it is of order $1/(R_0 k_F^0)^{2/3}$. On the other hand this is coherent with the fact in the limit $R_0 \rightarrow \infty$ we have to recover the homogeneous case with no shift at all. Hence the result has to depend on the ratio between the microscopic length k_F^{-1} and the macroscopic one R_0 , which is small. Therefore we come to the conclusion that the effect of the trapping potential is unlikely to be responsible for the lack of collapse found in experiments. On the other hand it is not completely clear that the very elongated shape of the traps used in most of this kind of experiments would not play some role. Indeed in this case the above ratio would not be that small. Nevertheless we have not explored this more complex situation. However in at least the ENS experiment [15] the trap is not so far from isotropic, so strong anisotropy does not hold for explaining the lack of collapse.

2.3 General case

Finally we generalize our treatment by getting rid of our approximate evaluation of the static response function of the system. Indeed we used above the simple RPA to obtain it. However our above derivation makes it clear that, because of our semiclassical approximation, all what we need is the response function of the homogeneous system. Hence we can use the general framework of Fermi liquid theory to discuss it. Let us recall that this framework is an exact one, and that it applies to our case of interest, that is a strongly interacting neutral Fermi system [16], just as it does for normal and superfluid liquid ^3He . Naturally there is a counterpart to the fact that this framework is exact, which is that it does not provide the explicit values of the constants it introduces. However this is not so important here since our approximate treatment provides us already with order of magnitude for these constants. On the other hand it is quite important to know that the only things which are not exact in our treatment are the values of these constants.

We found above from the RPA that the response function Π for the interacting system could be obtained from the response function Π^0 of the non interacting system by $\Pi^{-1} = (\Pi^0)^{-1} - g$. Now in Fermi liquid theory [16], for zero wavevector, the exact value of Π is given by:

$$-\Pi^{-1} = \frac{1}{N_0} + \frac{F_0^s}{N_0} \quad (16)$$

where $N_0 = m^* k_F / \pi^2$, is the density of states, with m^* the effective mass, and F_0^s the $\ell = 0$ symmetric Landau parameter. We see that we have merely to replace the bare mass by the effective mass, and replace g by F_0^s / N_0 to obtain the exact result (note that we have a factor 2 difference with our above explicit expression for the density of states because we consider the two spin populations). In particular the collapse instability corresponds to the well-known condition $F_0^s = -1$. Hence we only need to know the exact dependence of N_0 and F_0^s as a function of particle density in order to recast our above calculation under an exact form. More precisely we need only to know this dependence in the vicinity of the collapse density. Finally in order to write the generalization of equation (13) we need not only our response function for zero wavevector, but also the lowest correction due to the fact that we work at nonzero wavevector q . For dimensional reasons this correction will be in $(q/k_F)^2$ but the coefficient will not be given by the free gas result, as we have done above. More generally this coefficient is beyond the reach of standard Landau's Fermi liquid theory. This leads us to write finally the small q expansion:

$$-H^{-1} = \frac{1}{N_0} \left(1 + b \frac{q^2}{k_F^2} \right) + \frac{F_0^s}{N_0} \quad (17)$$

where we had $b = 1/12$ in the free particle case. Hence the framework we used in our calculation is exact, only the constants which come in have to be modified. We do not rewrite here the generalization of our above calculations since it is straightforward to do it and the results are not expected to lead to qualitative changes with respect to our above ones.

3 Conclusion

In this work, we have considered the zero frequency unstable density fluctuations for ultracold fermions in a harmonic trap. More precisely we have studied how quantum effects modify the corresponding mode. We have used a semiclassical treatment justified by the fact that the trap is large compared to the microscopic quantum scale. In a first step we have treated the interactions within the RPA approximation. We have then made a fully general analysis within Fermi liquid theory. Our results show that there is a zero frequency mode, with a size large compared to the inverse Fermi wave vector at the center of the trap but small compared to the cloud size. The threshold for instability is modified by the trap. However, even though we find a modification in the threshold instability, this does not seem to explain the absence of instability, as it is observed experimentally. It is interesting to note that, by contrast, quantum effects have a strong influence on the corresponding instability for Bose systems. This is basically because in this case the correspondent of our dimensionless parameter $R_0 k_F^0$ becomes of order unity. It would be of interest to devise a model allowing to go continuously

from the Fermi case to the Bose case, and see the importance of quantum effects grow along the way.

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11. Indeed, one can show that for an hydrodynamic mode of frequency ω , one always has $\omega^2 > 0$, except for the "neutral" mode. In order to prove this, we consider equation (1) of [17] for the chemical potential fluctuation w : $\nabla \cdot (n_0 \nabla w) + m\omega^2 \frac{\partial n_0}{\partial \mu} w = 0$ where $n_0(\mathbf{r})$ is the equilibrium density, m is the atomic mass and $\frac{\partial n_0}{\partial \mu}$ is the neutral mode. We multiply the above equation by w and integrate over space. Integration by parts gives a surface term equals to zero, because n_0 is zero on the cloud surface. We then get for the square of the mode frequency, for any confining potential, in any dimension: $\omega^2 = (1/m) [\int d\mathbf{r} n_0 (\nabla w)^2] / [\int d\mathbf{r} \frac{\partial n_0}{\partial \mu} w^2]$. This shows that $\omega^2 > 0$, except for the neutral mode for which $w = 1$
12. More precisely the instability appears when the frequency has a (infinitely small) positive imaginary part. This could in principle happen with a non zero real part of the frequency. However the standard situation for the appearance of an instability, as it is found for a Bose condensate with negative scattering length, is the case where the square of the frequency ω^2 is real and goes from a positive to a negative value by going through zero, in which case the frequency itself goes from real to purely imaginary. Hence the instability appears when the frequency is zero. We assume that we are also in this situation
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